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PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b)	
Attorney Docket No. 003646.P009 T	otal Pages 2
First Named Inventor or Application Identifier Swain W. Porter	
Express Mail Label No. <u>EL431684495US</u>	P TO
ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D. C. 20231	42 u.s. 9/45232
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	Ö,
1. X Fee Transmittal Form	
(Submit an original, and a duplicate for fee processing)	
Specification (Total Pages	
- Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix	
 Background of the Invention Brief Summary of the Invention 	
- Brief Description of the Drawings (if filed)	
- Detailed Description - Claims	
- Abstract of the Disclosure	
3. <u>X</u> Drawings(s) (35 USC 113) (Total Sheets <u>8</u>)	
4. X Oath or Declaration (Total Pages 4)	
a. X Newly Executed (Original or Copy)	
b Copy from a Prior Application (37 CFR 1.63(d))	
(for Continuation/Divisional with Box 17 completed) (Note E	
i. <u>DELETIONS OF INVENTOR(S)</u> Signed statement attache	
inventor(s) named in the prior application, see 37 CFR 1.63 and 1.33(b).	s(a)(Z)
5 Incorporation By Reference (useable if Box 4b is checked)	
The entire disclosure of the prior application, from which a copy	of the oath or
declaration is supplied under Box 4b, is considered as being pa	
disclosure of the accompanying application and is hereby incorp	
reference therein.	·
6 Microfiche Computer Program (Appendix)	
7 Nucleotide and/or Amino Acid Sequence Submission	
(if applicable, all necessary) a Computer Readable Copy	
b. Paper Copy (identical to computer copy)	
c. Statement verifying identity of above copies	

12/01/97

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PTO/SB/05 (12/97)





	ACCOMPANYING APPLICATION PARTS						
8. 9.							
10.		English Translation Document (if applicable)					
11.		a. Information Disclosure Statement (IDS)/PTO-1449					
	b. Copies of IDS Citations						
12.		Preliminary Amendment					
13.	3. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)						
14.	<u>X</u>	a. Small Entity Statement(s)					
		b. Statement filed in prior application, Status still proper and desired					
15.		Certified Copy of Priority Document(s) (if foreign priority is claimed)					
16.	_X_	Other: Separate Sheet: Certificate of Mailing with Attorney Signature and copy of return postcard.					
17.	If a CON	TINUING APPLICATION, check appropriate box and supply the requisite information:					
	Co	ntinuation Divisional Continuation-in-part (CIP)					
	of prior application No:						
18.	Corres	spondence Address					
ļ	_ Custon	ner Number or Bar Code Label					
(Insert Customer No. or Attach Bar Code Label here) orX Correspondence Address Below							
NAMEAloysius T.C. AuYeung, Reg. No. 35,432							
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP							
ADD	ADDRESS 12400 Wilshire Boulevard						
	Seventh Floor						
CITY	CITY Los Angeles STATE California ZIP CODE 90025-1026						
	Countr	y <u>U.S.A.</u> TELEPHONE <u>(503) 684-6200</u> FAX <u>(503) 684-3245</u>					
Expr	Express Mail Label: EL431684495US						





PTO/SB/17(10/96)

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FEE TRANSMITTAL **EXPRESS MAIL NO. EL431684495US** Complete if Known: Application No. Not yet assigned Filing Date November 30, 1999 First Named Inventor__ Swain W. Porter Group Art Unit_Not yet assigned Examiner Name Not yet assigned Attorney Docket No. 003646.P009 **METHOD OF PAYMENT** (check one) The Commissioner is hereby authorized to charge indicated fees and credit [X] any over payments to: **Deposit Account Number** 02-2666 **Deposit Account Name** [X] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 1 Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.131(b) 2. **Payment Enclosed** Check **Money Order** Other FEE CALCULATION (fees effective 10/01/97) **FILING FEE Small Entity** Large Entity Fee Fee Fee Fee Code (\$) Code **Fee Description** Fee Paid (\$) 760 Utility application filing fee 101 201 380 380.00 106 310 206 155 Design application filing fee 107 480 207 240 Plant filling fee 108 760 208 380 Reissue filing fee 114 150 214 75 Provisional application filing fee SUBTOTAL (1) \$ 380.00 2. **CLAIMS** Fee from **Extra** below Fee Paid **Total Claims** 42 -20 =9.00 198.00 Independent Claims 8 - 3 = Χ 5 39.00 195.00 Multiple Dependent Claims Large Entity **Small Entity** Fee Fee Fee Fee Code **Fee Description** (\$) Code (\$) Fee Paid 103 18 203 Claims in excess of twenty 102 202 Independent claims in excess of 3 78 39 104 260 204 130 Multiple dependent claim 109 78 209 39 Reissue independent claims over original patent Reissue claims in excess of 20 and over original patent 110 18 210 9 SUBTOTAL (2) 393.00 FEE CALCULATION (continued)





Large Entity Fee F	3.	3. ADDITIONAL FEES					
Code (\$) Code (\$) Fee Description Fee Paid							
105					Fee Description		Fee Paid
127 50 227 25 Surcharge - late provisional filling fee or cover sheet 139 130 139 130 Non-English specification 147 2,520 147 2,520 For filling a request for reexamination 147 2,520 147 2,520 For filling a request for reexamination 147 2,520 147 2,520 For filling a request for reexamination 147 200 147 2,520 Examiner action Examiner action Examiner action 158 110 215 55 Extension for response within first month 164 400 216 200 Extension for response within stend month 178 70 217 475 Extension for response within third month 188 1,510 218 755 Extension for response within fifth month 128 2,080 228 1,030 Extension for response within fifth month 128 2,080 228 1,030 Extension for response within fifth month 128 2,080 228 1,030 Extension for response within fifth month 129 155 Filling a brief in support of an appeal 120 310 220 155 Filling a brief in support of an appeal 120 310 220 155 Filling a brief in support of an appeal 121 270 221 135 Request for oral hearing 121 132 241 660 Petition to institute a public use proceeding 140 110 240 55 Petition to revive unavoldably abandoned application 141 1,320 241 660 Petition to revive unintentionally 245 246 247 247 248 248 249	1						
139					Surcharge - late provisional filing fee		
147 2,520 147 2,520 For filling a request for reexamination	120	130	120	120			
112 920* 112 920* Requesting publication of SIR prior to Examiner action	1						
Examiner action Requesting publication of SIR after Examiner action							
113	''-	320		320			
115	113	1,840*	113	1,840*	Requesting publication of SIR after		
116	115	110	215	55			
117							
118							
128 2,060 228 1,030 Extension for response within fifth month							
119							
120 310 220 155 Filing a brief in support of an appeal							
121 270 221 135 Request for oral hearing							
138	121	270	221	135			
140	138	1,510	138	1,510			
141	140	110	240		Petition to revive unavoidably abandoned		
142	141	1,320	241	660	Petition to revive unintentionally		
143	142	1 320	242	660			
144 670 244 335 Plant issue fee							
122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 240 126 240 Submission of Information Disclosure Stmt 581 40 581 40 Recording each patent assignment per property (times number of properties) 146 790 246 395 For filing a submission after final rejection (see 37 CFR 1.129(a)) 149 790 249 395 For each additional invention to be examined (see 37 CFR 1.129(a)) Other fee (specify) SUBTOTAL (3) \$ 0.00 *Reduced by Basic Filling Fee Paid TOTAL AMOUNT OF PAYMENT (\$) \$ 773.00 SUBMITTED BY: Aloysius T.C. AuYeung Date 1/20/9					_		
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126 240 126 240 Submission of Information Disclosure Stmt 581 40 581 40 Recording each patent assignment per property (times number of properties) 146 790 246 395 For filing a submission after final rejection (see 37 CFR 1.129(a)) 149 790 249 395 For each additional invention to be examined (see 37 CFR 1.129(a)) Other fee (specify) Other fee (specify) SUBTOTAL (3) \$ 0.00 *Reduced by Basic Filing Fee Paid TOTAL AMOUNT OF PAYMENT (\$) SUBMITTED BY: Typed or Printed Name: Aloysius T.C. AuYeung Signature Date							
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Signature							
	Typed or Printed Name: Aloysius T.C. AuYeung						
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(complete if applicable)			_00,702			lete if a	applicable)





DYNAMIC CONTENT BASED ASSISTED INFORMATION BROWSING

Inventor: Swain W. Porter Our Reference: 003646.P009

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN, L.L.P.

Petition for _

Power of Attorney (____ pgs.)
Preliminary Amendment (___ pgs.)

Reply Brief (_____ pgs.)

Transmittal Letter, in duplicate

Fee Transmittal, in duplicate

☐ Response to Notice of Missing Parts

Small Entity Declaration for Indep. Inventor/Small Business

Postcard

Date: 11/30 , 1999 Pursur A	m				
Aloysius T.C. AuY Reg. No. 35,432	eung				
I hereby certify that I am causing this paper or fe United States Postal Service "Express Mail Post service on the date indicated below and that this addressed to the Assistant Commissioner for Pa 20231 on:	t Office to Addressee" s paper or fee has been				
November 30, 1999					
Date of Deposit					
/) //					
Shannon Moss					
Name of Person Mailing Corre	spondence				
A Comma Po	1/30/75				
Signature Da	nte .				
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EL431684495US					
"Express Mail" mailing label	number				
Serial/Patent No.: not yet assig	ned Filing/Issue Date: 11-30-99				
Client: <u>Swain W. Porter</u> Title: <u>DYNAMIC CONTENT BASED</u>	ASSISTED INFORMATION BROWSING				
Title. DINATIO CONTENT BIBLE	AIDDIDID THE				
BSTZ File No.: 003646.P009	Atty/Secty Initials: ATA/mjt				
Date Mailed: 11-30-99	Docket Due Date:				
	S. Patent & Trademark Office on the date stamped hereon:				
Amendment/Response (pgs.) Appeal Brief (pgs.) (in triplicate)	Express Mail No.: ELU3 L8445 6				
Application - Utility (pgs., with cover and abstraction - Utility (pgs., pgs., with cover and abstraction - Utility (pgs., pgs					
Application - Rule 1.53(b) Continuation (pgs.)	☐ Issue Fee Transmittal Amt:				
Application - Rule 1.53(b) Divisional (pgs.)	□ Notice of Appeal				
Application - Rule 1.53(b) CIP (pgs.)	Petition for Extension of Time				

Application - Rule 1.53(d) CPA Transmittal (_

☐ Disclosure Does & Orig & Copy of Inventor's Signed Letter(_

Drawings: # of sheets includes // figures

Application - Design (____ pgs.)

Application - PCT (_____ pgs.)

Certificate of Mailing
Declaration & POA (pgs.)

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